

# Targeted Therapy for HER2 and HER3-Positive Breast Cancer: Navigating the Evolving Treatment Landscape

A Free, 90-Minute Live and OnDemand Activity

**Premiere Date: Wednesday, September 16, 2020**

6:30 PM - 8:00 PM ET (live)

Credit Expiration Date: Thursday, September 16, 2021

**[www.cmeoutfitters.com/#treatBC](http://www.cmeoutfitters.com/#treatBC)**

**#treatBC**

**LIVE FACULTY:** Shanu Modi, MD and Michael F. Press, MD, PhD

**MODERATOR:** Sara A. Hurvitz, MD

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Please click on the **Ask a Question** tab and type your question.

**Email** your question or comment: [questions@cmeoutfitters.com](mailto:questions@cmeoutfitters.com)

**All other questions: Call CME Outfitters at 877.CME.PROS**

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## INFORMATION FOR PARTICIPANTS

### Statement of Need

Breast cancer (BC) is a heterogeneous disease with human epidermal growth factor receptor 2 (HER2) overexpression and/or amplification observed in 20%-30% of cases. HER2-positive (+) BC correlates with poor clinical outcomes unless appropriately treated with targeted therapy. Despite significant therapeutic advances, many patients experience disease progression with resistance to targeted agents developing over the course of treatment.

This CME Outfitters Live and OnDemand webcast will provide up-to-date education on this rapidly evolving field, in order to better tailor treatment strategies, leading to improved patient outcomes. Additionally, faculty will discuss COVID-19 and its impact on patients with BC.

### Learning Objectives

**At the end of this CME/CE activity, participants should be able to:**

- Apply HER2 testing guidelines for improved treatment selection in breast cancer.
- Evaluate clinical trial data for novel and emerging therapies for the management of patients with low HER2 expression, resistant to HER2-directed therapies, and HER3+ BC.
- Expand use of telemedicine in BC care.

*The following learning objectives pertain only to those requesting CNE or CPE credit:*

- Summarize HER2 testing guidelines for improved treatment selection in BC.
- Evaluate clinical trial data for novel and emerging therapies for the management of patients with low HER2 expression, resistant to HER2-directed therapies, and HER3+ BC.
- Describe ways to expand use of telemedicine in BC care.

### Target Audience

Hematologists/oncologists, OB/GYNs, surgeons, pathologists, PAs, nurse practitioners, nurses, and pharmacists

### Financial Support

Supported by an educational grant from Daiichi Sankyo, Inc.

## CREDIT INFORMATION

### CME Credit (Physicians)

CME Outfitters, LLC, is accredited by the Accreditation Council for Continuing Medical Education (ACCME) to provide continuing medical education for physicians. CME Outfitters, LLC, designates this live activity for a maximum of 1.5 *AMA PRA Category 1 Credit(s)*™. Physicians should claim only the credit commensurate with the extent of their participation in the activity.

**Note to PAs:** PAs may claim a maximum of 1.5 Category 1 credits for completing this activity. NCCPA accepts *AMA PRA Category 1 Credit*™ from organizations accredited by ACCME or a recognized state medical society.

### CNE Credit (Nurses)

Provider approved by the California Board of Registered Nursing, Provider Number CEP 15510, for 1.5 contact hours.

**Note to Nurse Practitioners:** Nurse practitioners can apply for *AMA PRA Category 1 Credit*™ through the American Academy of Nurse Practitioners (AANP). AANP will accept *AMA PRA Category 1 Credit*™ from organizations accredited by the Accreditation Council for Continuing Medical Education. Nurse practitioners can also apply for credit through their state boards.

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Universal Activity Number: Live: 0376-0000-20-108-L01-P; Enduring: 0376-0000-20-108-H01-P

Type: Knowledge-based

### ABIM/MOC Credit

Successful completion of this CME activity, which includes participation in the evaluation component, enables the participant to earn up to 1.5 MOC points in the American Board of Internal Medicine's (ABIM) Maintenance of Certification (MOC) program. Participants will earn MOC points equivalent to the amount of CME credits claimed for the activity. It is the CME activity provider's responsibility to submit participant completion information to ACCME for the purpose of granting ABIM MOC credit.

**Learning Formats:** Live activity; Enduring material

### Royal College MOC

Through an agreement between the Accreditation Council for Continuing Medical Education and the Royal College of Physicians and Surgeons of Canada, medical practitioners participating in the Royal College MOC Program may record completion of accredited activities registered under the ACCME's "CME in Support of MOC" program in Section 3 of the Royal College's MOC Program.

### MIPS Improvement Activity

This activity counts towards MIPS Improvement Activity requirements under the Medicare Access and CHIP Reauthorization Act of 2015 (MACRA). Clinicians should submit their improvement activities by attestation via the CMS Quality Payment Program website.

## CREDIT REQUIREMENTS

**Post-tests, credit request forms, and activity evaluations must be completed online** (requires free account activation), and participants can print their certificate or statement of credit immediately (75% pass rate required). This website supports all browsers except Internet Explorer for Mac. For complete technical requirements and privacy policy, visit <https://www.cmeoutfitters.com/privacy-and-confidentiality-policy>.

There is no fee for participation in this activity. The estimated time for completion is 90 minutes. Questions? Please call **877.CME.PROS**.

## FACULTY BIOS & DISCLOSURES

### Sara A. Hurvitz, MD (Moderator)

Dr. Hurvitz is Professor of Medicine at the University of California, Los Angeles (UCLA); Co-director of the Santa Monica-UCLA Outpatient Oncology Practice; Medical Director of the Clinical Research Unit of the Jonsson Comprehensive Cancer Center at UCLA; and Director of Breast Oncology. Dr. Hurvitz earned her MD from the University of Southern California. She served internship/residency at UCLA 1999-2002, was Chief Resident of internal medicine in 2003-2004, and completed a hematology-oncology fellowship at UCLA in 2006. Dr. Hurvitz earned board-certification in internal medicine, hematology, and medical oncology.

In the 14 years since joining the faculty at UCLA, Dr. Hurvitz has gained international recognition as an academic expert in breast oncology. She not only has an active clinical practice but also has extensive experience designing and leading first-in-human through phase III clinical trials. She has served as UCLA principle investigator on over 50 interventional clinical trials, has been chair of six international and four national studies, on the steering committee for 15 international trials, and on the Data Safety Monitoring Board for four studies of novel therapeutics. She has personally designed, co-written, obtained funding, and completed multiple phase II and III clinical trials of novel targeted therapies (including TRIO B07, KRISTINE, and neoMONARCH). She serves on the Department of Defense Breast Cancer Research Program Programmatic Panel, is on the Scientific Committee for TRIO (Translational Research in Oncology, formerly BCIRG, an academic not-for-profit CRO), is on the editorial board for several peer-reviewed journals, including *Journal of Clinical Oncology*, and serves as a reviewer for numerous high-impact medical journals including the *New England Journal of Medicine*, *Lancet Oncology*, *Journal of Clinical Oncology*, *JAMA-Oncology*, and *Clinical Cancer Research*. In addition to her expertise in the clinical development of novel therapeutics, Dr. Hurvitz also has been extensively involved in laboratory-based research. She has been awarded and successfully led and completed several government-funded basic science projects. Additionally, she co-directs the preclinical evaluation of novel targeted therapeutics for breast cancer in the UCLA-JCCC/Translational Oncology Research Laboratory (TORL). Dr. Hurvitz is committed to the translation of basic laboratory science into innovative clinical testing and the movement of important clinical questions into the laboratory. Her understanding of both bench and bedside makes her uniquely positioned to lead the successful translation of new discoveries into the clinical realm.

### Shanu Modi, MD

Dr. Modi is Associate Professor of Medicine in the Department of Medicine at Weill Cornell Medical College in New York, New York. She is also Associate Member of the Breast Medicine Service at Memorial Sloan Kettering Cancer Center (MSKCC) in New York, New York. Dr. Modi received her medical degree from the University of Alberta in Edmonton, Canada where she also completed a residency in internal medicine. She followed this with subspecialty training in medical oncology at the Cross Cancer Institute and subsequently completed a 4-year fellowship in breast cancer research at MSKCC. She has been a full-time faculty member of the Breast Medicine Service at MSKCC since 2005.

Dr. Modi has been chair and member of the Scientific Program Committee for HER2 Positive Breast Cancer from 2013 to 2016 and member of the Grants Selection Committee for the American Society of Clinical Oncology (ASCO) from 2013 to 2015. She received the Conquer Cancer Foundation Advanced Clinical Research Award in 2009 for her work in Heat Shock Protein Inhibition. Dr. Modi was awarded and held the Patricia and James Cayne Chair for Junior Faculty at MSKCC from 2009 to 2012.

She has had a clinical research career in the development of HER2-targeted therapies and is currently the Section Head for HER2 Positive Breast Cancer for the Breast Medicine Service at MSKCC. Dr. Modi is also a member of the Expert Committee to establish Guidelines for Patients with Advanced HER2+ Breast Cancer, where she serves on the Breast Cancer Consensus Panel. She is also a member of the Scientific Committee for the European Society of Medical Oncology (ESMO) as part of the metastatic breast cancer track responsible for abstract selection.

She is an ad hoc reviewer for *Journal of Clinical Oncology*, *Clinical Breast Cancer*, *Breast Cancer Research and Treatment*, and *Journal of Surgical Oncology*. She has authored or co-authored more than 50 peer-reviewed articles as well as books, book chapters, and reviews.

Dr. Modi holds memberships in ASCO, ESMO, the American Association for Cancer Research, ALLIANCE for Clinical Trials in Oncology, and the Translational Breast Cancer Consortium. She has been an invited speaker for national and international congresses, meetings, and symposiums.

### Michael F. Press, MD, PhD

Dr. Press is a Professor in the Department of Pathology and holds the Harold E. Lee Chair in Cancer Research at the University of Southern California (USC) Norris Comprehensive Cancer Center. He is a board-certified pathologist, directs the USC Breast Cancer Analysis Laboratory as well as the Central Laboratory for the Translational Research in Oncology (TRIO)/Cancer International Research Group (CIRG), and is Leader of the USC Clinical Laboratories. His laboratory evaluates prognostic and predictive markers used in making treatment decisions for women with breast cancer. It has served as the Central Laboratory for either retrospective or prospective analyses of tissue specimens for 28 clinical trials that collectively accrued more than 13,000 patients. Dr. Press' area of research interest is in molecular alterations of breast and gynecologic cancers, especially those that have the potential to be important in either diagnostic or therapeutic decision-making for patient management. His research has been continuously funded by research grants for more than 35 years. He is the author or co-author of more than 250 peer-reviewed publications. The most prominent area of activity for his laboratory has been in the study of the human epidermal growth factor receptor type 2 (HER2) in breast and other cancers. He published his first paper in this area in 1989 (*Science* 1989;244:707-712) and his laboratory is still actively contributing to this area as well as to the conduct of clinical trials evaluating HER2 as a target for therapy.

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Dr. Hurvitz reports that she receives research support from Ambrx Inc.; Amgen Inc.; Arvinas, Inc.; Bayer; Daiichi Sankyo, Inc.; Dignitana; Eli Lilly and Company; Genentech, Inc./Roche; GlaxoSmithKline; Immunomedics, Inc.; MacroGenics, Inc.; Novartis; OBI Pharma; Pfizer Inc.; Pieris Pharmaceuticals, Inc.; Puma Biotechnology, Inc.; Radius Health, Inc.; Sanofi; and Seattle Genetics, Inc. She is a consultant for NKMax America, Inc. She receives other financial or material support as a medical writer for Pfizer, Inc. and Roche.

Dr. Modi reports that she receives research support from AstraZeneca; Daiichi Sankyo, Inc.; Genentech, Inc.; Novartis; and Seattle Genetics, Inc. She is on the advisory committee for AstraZeneca; Daiichi Sankyo, Inc.; and MacroGenics, Inc. She is a consultant for AstraZeneca; Daiichi Sankyo, Inc.; Genentech, Inc.; and Seattle Genetics, Inc. She served as a speaker or a member of a speakers bureau for AstraZeneca; Daiichi Sankyo, Inc.; Genentech, Inc.; and Seattle Genetics, Inc.

Dr. Press reports that he is a consultant for Puma Biotechnology, Inc.; Science Branding Communications; and Zymeworks. He is on the advisory board for AstraZeneca; Biocartis; Cepheid; Eli Lilly and Company; Lilly USA, LLC; Merck & Co., Inc.; and Novartis. He receives honoraria from Science Branding Communications and is a stock shareholder for TORL Biotherapeutics, LLC.

Jeffrey Helfand, DO (peer reviewer) has no disclosures to report.

Mae Ochoa, RPh (peer reviewer) has no disclosures to report.

Poshala Tish Aluwihare, PhD (planning committee) has no disclosures to report.

Susan Perry (planning committee) has no disclosures to report.

Jan Perez (planning committee) has no disclosures to report.

Sharon Tordoff (planning committee) has no disclosures to report.

Disclosures were obtained from the CME Outfitters, LLC staff: No disclosures to report.

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The slides that are presented in this activity will be available to download and print out at the CME Outfitters website: [www.cmeoutfitters.com/treatBC](http://www.cmeoutfitters.com/treatBC). Activity slides may also be obtained via fax or email by calling **877.CME.PROS**.



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**Claim ABIM MOC Credit**

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*(It's okay if you miss answering a question or get them wrong;  
you can still claim MOC)*
2. Complete your post-test and evaluation at the conclusion of the webcast
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## Sara A. Hurvitz, MD

Professor of Medicine  
University of California, Los Angeles (UCLA)  
Co-Director, Santa Monica-UCLA Outpatient Oncology Practice  
Medical Director, Clinical Research Unit  
Jonsson Comprehensive Cancer Center at UCLA  
Director of Breast Oncology  
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## Shanu Modi, MD

Associate Professor of Medicine, Department of Medicine  
Weill Cornell Medical College  
Associate Member  
Section Head, HER2 Positive Breast Cancer,  
Breast Medicine Service  
Memorial Sloan Kettering Cancer Center  
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## Michael F. Press, MD, PhD

Professor  
Department of Pathology  
Harold E. Lee Chair in Cancer Research  
Norris Comprehensive Cancer Center  
Keck School of Medicine  
The University of Southern California  
Los Angeles, CA



## Learning Objective 1

Apply HER2 testing guidelines for improved treatment selection in breast cancer (BC).



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## Learning Objective 2

Evaluate clinical trial data for novel and emerging therapies for the management of patients with low HER2 expression, resistant to HER2-directed therapies, and HER3+ BC.




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## Learning Objective 3

Expand use of telemedicine in BC care.




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## Evaluating HER2 Status




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### Case Study: 61-year-old woman with MBC



- Left biopsy (March 2015): IDC
  - ER: positive (100%)
  - PR: positive (35%)
  - HER2 IHC: **IHC 3+** ("40% strong, complete membrane staining") (Dako HercepTest, FDA-approved)
  - HER2 FISH:  $HER2 \text{ ratio} = HER2/CEP17 = 3.8/1.6 = 2.3$  ("**FISH positive**") (PathVysion FISH assay, FDA-approved)
- Metastatic carcinoma in pleural fluid (September 2019)
  - ER: not provided
  - PR: not provided
  - HER2 IHC: **IHC 2+** (Dako HercepTest, FDA-approved)
  - HER2 FISH:  $HER2 \text{ ratio} = HER2/CEP17 = 2.0/2.0 = 1.0$  ("**FISH negative**")
- Patient tissue referral for HER2 assessment second opinion – two separate samples provided (C26712 and C26727)

CEP17 = centromere enumeration probe 17; ER = estrogen receptor; FDA = U.S. Food and Drug Administration; FISH = fluorescence in situ hybridization; HER2 = human epidermal growth factor receptor 2; IDC = invasive ductal carcinoma; IHC = immunohistochemistry; MBC = metastatic breast carcinoma; PR = progesterone receptor

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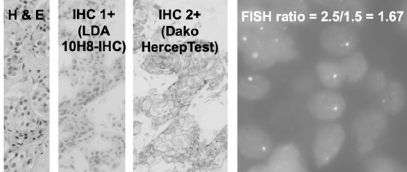
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## Case Study: 61-year-old woman with MBC

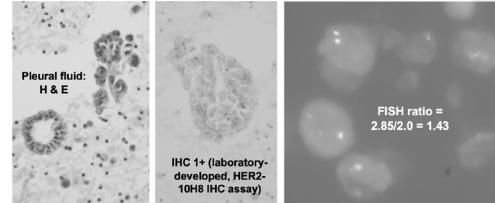
- Left breast biopsy (March 2015): IDC (USC, C26712, 09/2019)
  - HER2 IHC: IHC 2+ (Dako HercepTest, FDA-approved)
  - HER2 IHC: IHC 1+ (LDA 10H8 HER2 IHC)
  - HER2 FISH:  $HER2 \text{ ratio} = HER2/CEP17 = 2.5/1.5 = 1.67$  ("HER2-not-amplified") (PathVysion FISH assay, FDA-approved)



H & E = hematoxylin and eosin; LDA = laboratory-developed assay

## Case Study: 61-year-old woman with MBC

- Metastatic carcinoma in pleural fluid (September 2019): USC, C26727, 09/2019
  - HER2 IHC: IHC 1+ (HER2-10H8 assay, laboratory-developed IHC)
  - HER2 FISH:  $HER2 \text{ ratio} = HER2/CEP17 = 2.85/2.0 = 1.43$  ("HER2-not-amplified")



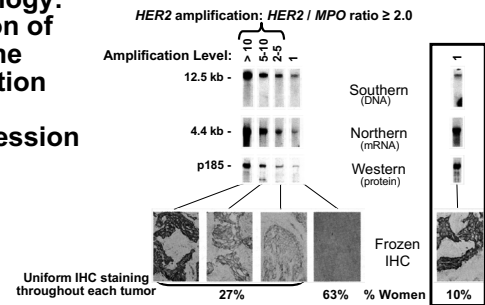
## HER2/ERBB2 Testing in Breast Carcinomas

- HER2/ERBB2 amplification is directly correlated with HER2 overexpression in frozen tissues
- HER2 status by FISH is significantly more accurate than by IHC
- ASCO-CAP Guidelines for HER2 Testing in Breast Cancer (2007, 2013/2014, and 2018)
- Summarize data related to each ASCO-CAP FISH group according to 2013/2014 and 2018 breast cancer guidelines
- Assess the use of alternative control FISH probes for HER2 "ISH-equivocal" breast cancers

ASCO = American Society of Clinical Oncology; CAP = College of American Pathologists



## HER2 Biology: Correlation of HER2 Gene Amplification with Overexpression

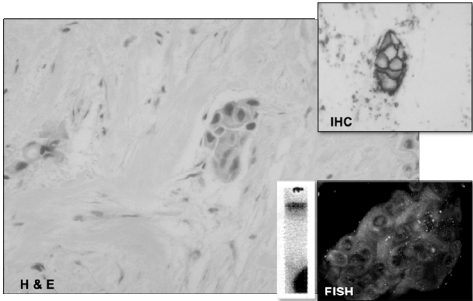


MPO = myeloperoxidase  
Slamon DJ, et al. Science. 1989;244:707-712.





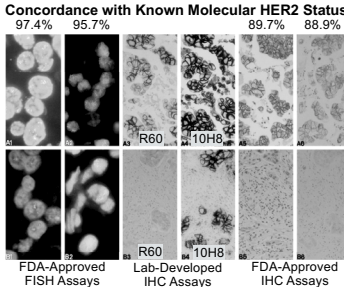
HER Biology: Southern Blot “Single Copy or Not-Amplified” Overexpression: Actually HER2-Amplified by FISH



Slamon DJ, et al. Science.1989;244:707-712. Pauletti G, et al. Oncogene. 1996;13:63-72.



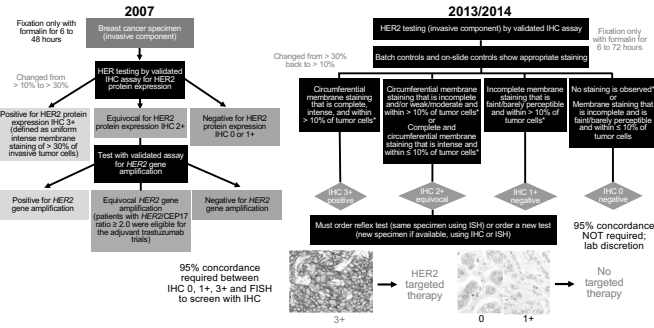
Comparison of Six Different HER2 Assays in HER2 Molecularly Characterized Breast Cancers



Press MF, et al. J Clin Oncol. 2002;20:3095-3105.



Algorithm for HER2 Testing by IHC



Wolff AC, et al. J Clin Oncol. 2007;25:118-145. Wolff AC, et al. J Clin Oncol. 2013;31:3997-4013.

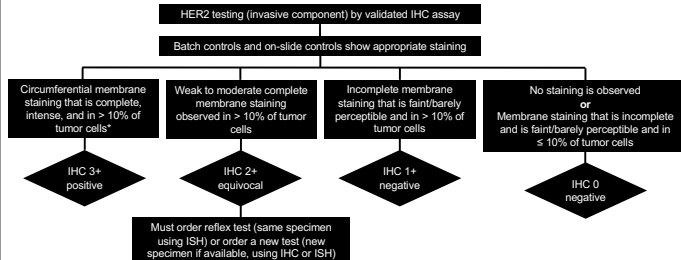
Concordance Between IHC and FISH: Prevalence of HER2 Gene Amplification by IHC Category (2008-2014)

HER2 Gene Amplification Rate (%) in Each IHC Staining Category by Study							
IHC 0 (%)	IHC 1+ (%)	IHC 2+ (%)	IHC 3+ (%)	Number	IHC Method	Reference	
0%	8.3%	22.9%	56.3%	661	Dako HercepTest (FDA)	A0485 (Dako)	Rasmussen 2008
1.6%		21.1%	84%	697			Grimm 2010
12.2%		66.6%	93.9%	175	3B5 antibody (LDT)		Panjwani 2010
3.3%		57.9%	95.2%	100	Dako HercepTest (FDA)		Tsuda 2010
0%	3.3%	15.2%	84.1%	200	4B5 antibody, LDT		Lambein 2011
0%	3.2%	21.5%	91%	681	Dako HercepTest (FDA)		Jorgensen 2011
12.8%		43.8%	97.8%	291	A0485 (Dako), LDT		Bernasconi 2012
0%	10%	25%	100%	216	CB11 antibody		Martin 2012
3.3%	7.1%	49.2%	88.4%	543	CB11 antibody		Lee 2012
0%	12.5%	76.5%	97.3%	125	Dako HercepTest (FDA)		Kiyose 2012
2.4%		39.9%	98.1%	1,437	Dako HercepTest (FDA)		Vergara-Lluri 2012
9.6%		38.9%	87.2%	396	CB11 (Biogenix)		Kokate 2012
2.6%	4.6%	28.1%	93.8%	950	A0485 (Dako), LDT		Park 2012
0%	1%	19%	92%	154	Dako HercepTest (FDA)		Minot 2012
10%	5%	13%	69%	1,024	CB11 (Ventana)		Varga 2013
0%	2.6%	29.4%	100%	150	4B5 (Ventana) (FDA)		Lambein 2013
9.4%	6.4%	13.5%	55.1%	628	A0485 (Dako), LDT		Fasching 2014
1.7%	3.3%	12.4%	81.1%	2,590	Dako HercepTest (FDA)		Schalper 2014

LDT = lab-developed test

Less than 95% concordance of IHC with FISH assay results

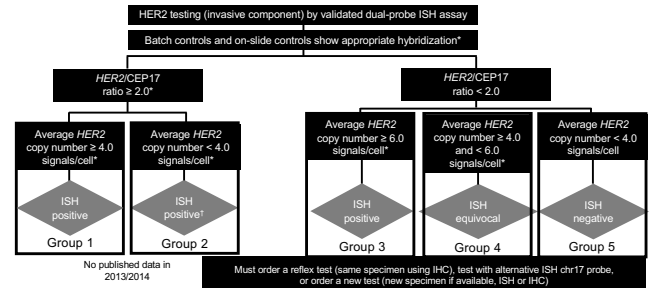
## HER2 Testing by IHC: 2018 Guidelines (Unchanged from 2013/2014)



2007, 2013/2014, and 2018 guidelines largely ignore both the IHC 0/1+ false-negative and the IHC3+ false-positives  
Wolff AC, et al. J Clin Oncol. 2018;36(20):2105-2122.



## Optimal ASCO-CAP Algorithm for HER2 Testing by FISH: HER2 Probe with a Control CEP17 Probe



chr17 = chromosome 17  
\*Observed in a homogeneous and contiguous population; †See data supplement 2E in Wolff 2014 reference for more information on these rare scenarios  
Wolff AC, et al. J Clin Oncol. 2013;31:3997-4013. Wolff AC, et al. Arch Pathol Lab Med. 2014;138(2):241-256.



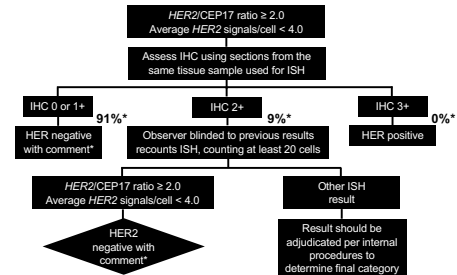
## Assessment of HER2 by FISH According to 2014 ASCO-CAP Guidelines by Group

Group	Description of FISH Category	Consultation Study		CIRG Trials Study	
		No. of Cases	Overall %	No. of Cases	Overall %
1	Ratio $\geq 2.0$ HER2 average $\geq 4.0$	1,328	17.7%	4,269	40.8%
2	Ratio $\geq 2.0$ HER2 average $< 4.0$	31	0.4%	71	0.7%
3	Ratio $< 2.0$ HER2 average $\geq 6.0$	48	0.6%	55	0.5%
4	Ratio $< 2.0$ HER2 average $\geq 4.0, < 6.0$	345	4.6%	432	4.1%
5	Ratio $< 2.0$ HER2 average $< 4.0$	5,774	76.7%	5,641	53.9%
Totals		7,526*	100%	10,468	100%

\*96 cases (1.1%) with HER2 genomic heterogeneity were excluded.  
Press MF, et al. Arch Pathol Lab Med. 2016;140:1250-1258. Press MF, et al. J Clin Oncol. 2016;34(29):3518-3528.



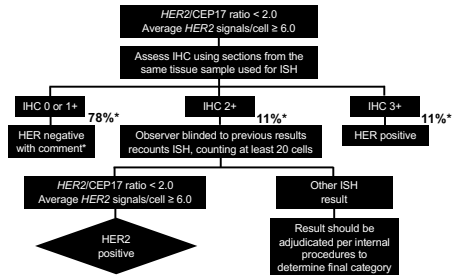
## Evaluation of FISH Group 2



\*Press MF, et al. J Clin Oncol. 2016;34(29):3518-3528. Wolff AC, et al. J Clin Oncol. 2018;36(20):2105-2122.



## Evaluation of FISH Group 3



\*Press MF, et al. *J Clin Oncol.* 2016;34(29):3518-3528. Wolff AC, et al. *J Clin Oncol.* 2018;36(20):2105-2122.



## HER2 Gene Amplification Status vs. HER2 Protein Expression in ASCO-CAP Group 3 Patients in a BCIRG Trial

ASCO-CAP Group (Ratio < 2.0 and Average HER2 Copies ≥ 6.0)	HER2 BCIRG FISH Status	Mean of Average HER2 Copy Numbers	IHC 0	IHC 1+	IHC 2+	IHC 3+	Totals
Group 3A	Amplified	Average 16.38	1 (17%)	0 (0%)	3 (50%)	2 (33%)	6 (24%)
Group 3N	Not Amplified	Average 7.43	8 (42%)	9 (47%)	2 (11%)	0 (0%)	19 (76%)
Totals			9	9	5	2	25 (100%)

There is a significant difference between Group 3A and Group 3N in terms of IHC staining  
Group 3A: 83% IHC 2+/3+; Group 3N: 89% IHC 0/1+ ( $p = .002$ )

BCIRG = Breast Cancer International Research Group  
Press MF, et al. *J Clin Oncol.* 2016;34(29):3518-3528.

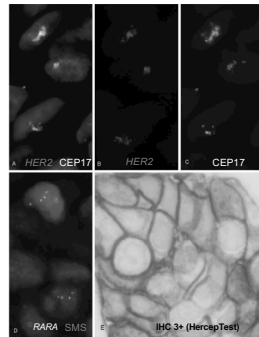


## Minority of ASCO-CAP FISH Group 3 Breast Cancers ("Group 3A") Show HER2 Gene Amplification and HER2 Protein Overexpression

HER2 = 23.2/cell  
CEP17 = 15.75/cell  
HER2: CEP17 = 1.47

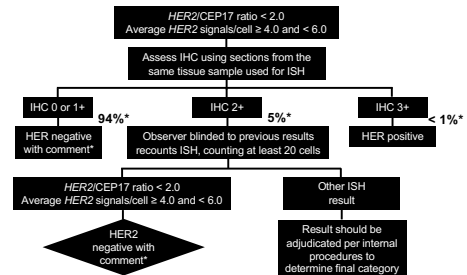
RARA = 2.55/cell  
HER2: RARA = 23.2/2.55 = 9.1

SMS = 1.85 / cell  
HER2: SMS = 23.2/1.85 = 12.54



Press MF, et al. *Arch Pathol Lab Med.* 2016;140(11):1250-1258.

## Evaluation of FISH Group 4



\*Press MF, et al. *J Clin Oncol.* 2016;34(29):3518-3528. Wolff AC, et al. *J Clin Oncol.* 2018;36(20):2105-2122.



# Targeted Therapy for HER2 and HER3-Positive Breast Cancer: Navigating the Evolving Treatment Landscape

## Chr17 Regional Gene Copy Gains/Losses Among Alternative Control Genomic Sites vs. *HER2/ERBB2* Gene Copy Gains/Losses

Alternative Control (Region)	HER2 Loss	Total HER2 Copy Number Status		HER2 Amp	Total					
<b>US1</b>										
Gain	0	2.45%	25	2.67%	61	18.71%	13	4.47%	108	5.64%
Normal	46	12.71%	622	66.32%	66	18.63%	87	23.90%	819	42.77%
Loss	308	84.85%	288	30.80%	201	51.66%	191	65.64%	988	51.59%
Total	353	100%	935	100%	326	100%	291	100%	1,915	100%
<b>TP53</b>										
Gain	3	0.83%	15	1.60%	60	18.40%	4	1.31%	82	4.28%
Normal	41	11.29%	624	66.74%	66	18.40%	81	27.84%	805	42.04%
Loss	319	87.88%	296	31.66%	207	63.50%	206	70.79%	1,028	53.68%
Total	363	100%	935	100%	326	100%	291	100%	1,915	100%
<b>D17S122 (TEK13)</b>										
Gain	10	2.75%	11	1.18%	55	16.83%	8	2.76%	84	4.39%
Normal	50	13.77%	643	68.77%	27	20.50%	84	28.87%	844	44.01%
Loss	303	83.47%	281	30.05%	204	62.68%	199	68.38%	987	51.54%
Total	363	100%	935	100%	326	100%	291	100%	1,915	100%
<b>RAI1</b>										
Gain	7	1.93%	39	4.17%	78	23.93%	35	12.03%	159	8.30%
Normal	57	15.70%	640	68.45%	66	19.94%	89	30.58%	851	44.44%
Loss	299	82.37%	256	27.38%	183	56.12%	167	57.39%	905	47.26%
Total	363	100%	935	100%	326	100%	291	100%	1,915	100%
<b>SMS (TOP3A)</b>										
Gain	12	3.31%	42	4.49%	79	24.23%	41	14.09%	174	9.09%
Normal	54	14.88%	647	69.20%	28	21.59%	94	32.30%	896	46.82%
Loss	297	81.82%	246	26.31%	178	54.18%	156	53.61%	875	45.69%
Total	363	100%	935	100%	326	100%	291	100%	1,915	100%
<b>TOP2A/RARA (TOP2A)</b>										
Gain	2	0.55%	19	2.03%	284	87.12%	117	40.21%	422	22.04%
Normal	22	6.06%	899	96.15%	26	11.04%	77	26.46%	1,034	53.99%
Loss	339	93.39%	17	1.82%	8	1.84%	97	33.33%	459	23.97%
Total	363	100%	935	100%	326	100%	291	100%	1,915	100%

METABRIC Cohort  
(N = 1,915)

Press MF, et al. JAMA Oncol. 2019;5(3):366-375.

## Comparison of FISH Groups with FDA-Approved Status, ASCO-CAP Guidelines, HER2 Expression by IHC, and BCIRG Clinical Trials

Group	Ratio	Average HER2	%	FDA	ASCO-CAP 2014 2018	HER2 Protein	Prognosis BCIRG-005	Trastuzumab Response BCIRG-006	BCIRG/TRIO
1	≥ 2.0	≥ 4.0	40.8	Amplified	ISH +	Overexpression	-	Significant improvement	Amplified
2	≥ 2.0	< 4.0	0.7	Amplified	ISH+ IHC	Low expression	-	Not significant	Not amplified
3	< 2.0	≥ 6.0	0.5	Not amplified	ISH+ IHC	Mixed	Undetermined	Undetermined	Mixed
4	< 2.0	≥ 4.0, < 6.0	4.1	Not amplified	ISH? IHC	Low expression	Not worse	-	Not amplified
5	< 2.0	< 4.0	53.9	Not amplified	ISH -	Low expression	Reference	-	Not amplified

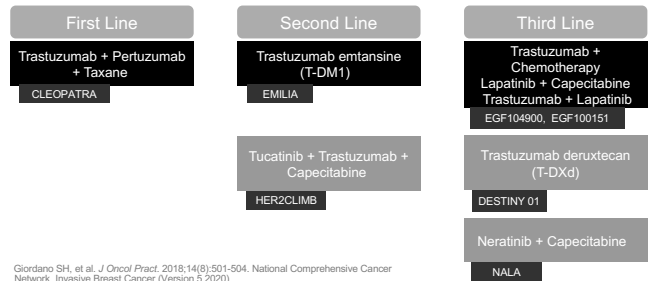
Press MF, et al. J Clin Oncol. 2016;34(29):3518-3528; Press MF, et al. JAMA Oncol. 2019;5(3):366-375.

CME  
Outlines

## Management of Treatment-Resistant HER2+ Breast Cancer

CME  
Outlines

## Standard of Care for HER2+ Advanced Breast Cancer



Giordano SH, et al. J Oncol Pract. 2018;14(8):501-504. National Comprehensive Cancer Network. Invasive Breast Cancer (Version 5.2020).  
[https://www.nccn.org/professionals/physician\\_gls/pdf/breast\\_blocks.pdf](https://www.nccn.org/professionals/physician_gls/pdf/breast_blocks.pdf)

CME  
Outlines

## New HER2-Targeted Agents

- Antibody drug conjugates (ADCs)
  - Trastuzumab deruxtecan (T-DXd)\*
  - Trastuzumab duocarmazine (SYD985)
- Tyrosine kinase inhibitors
  - Neratinib\*
  - Tucatinib\*
- Re-engineered HER2 monoclonal antibody
  - Margetuximab

\*Agents approved by the FDA

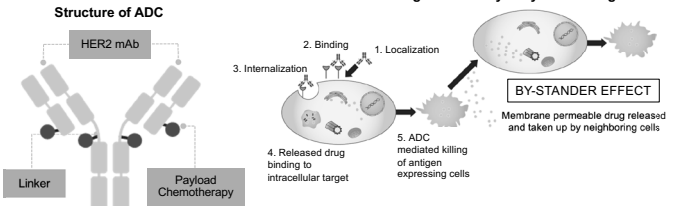


## HER2-Directed ADCs

Combine antigen specificity and potent cytotoxicity in a single molecule

- T-DM1 prototype ADC for HER2+ breast cancer
- New linker technologies and novel potent payloads
- Over 60 ADCs in clinical trials today

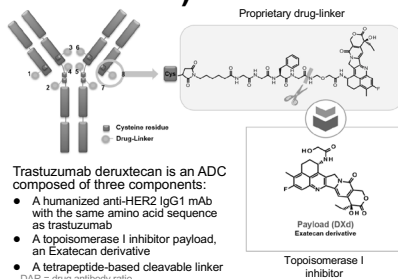
Provide efficient targeted delivery of cytotoxic drugs



Trail PA, et al. *Pharmacol Ther*. 2018;181:126-142. Tsuchikawa K, An Z. *Protein Cell*. 2018;9(1):33-46.



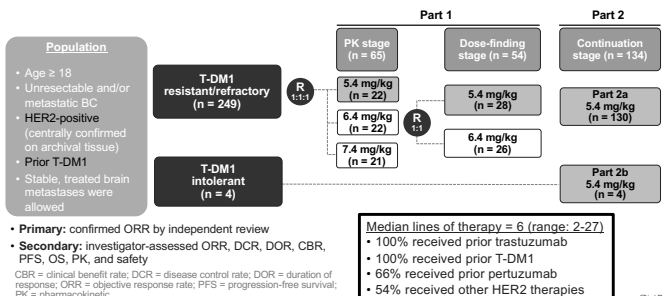
## Trastuzumab Deruxtecan (T-DXd; DS8201a) Is a Novel HER2 ADC



Nakada T, et al. *Chem Pharm Bull (Tokyo)*. 2019;67(3):173-185. Pondé N, et al. *Curr Treat Options Oncol*. 2019;20(5):37.



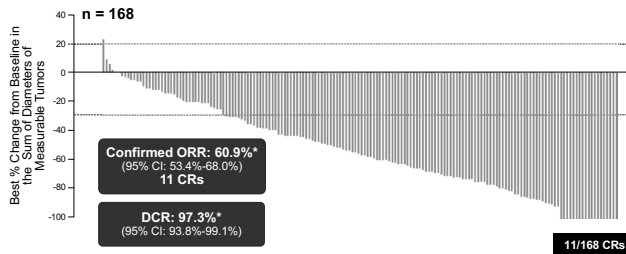
## DESTINY-Breast01 Trial: Phase II Study of T-DXd in HER2-Positive MBC



Modi S, et al. *N Engl J Med*. 2020;382(7):610-621.



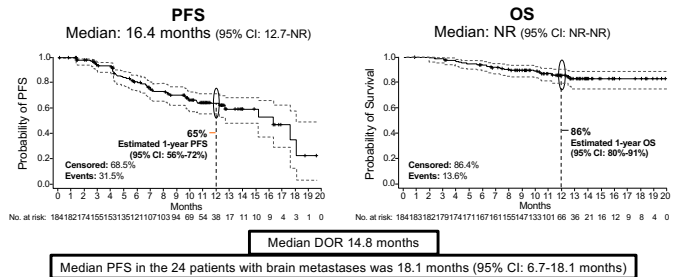
## DESTINY-Breast 01: Best Change in Tumor Size



The line at 20% indicates progressive disease; the line at -30% indicates partial response  
\*Includes all patients who received T-DXd 5.4 mg/kg (intent-to-treat [ITT] analysis; N = 184)  
Modi S, et al. *N Engl J Med*. 2020;382(7):610-621.

CME  
Outlines

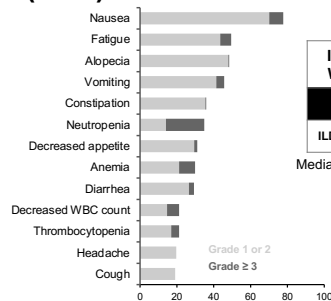
## DESTINY 01: PFS and OS



NR = not reached  
Modi S, et al. *N Engl J Med*. 2020;382(7):610-621.

CME  
Outlines

## Treatment-Emergent Adverse Events (AEs) in > 15% of Patients



**Interstitial Lung Disease (ILD) in Patients Who Received T-DXd 5.4 mg/kg (N = 184)**

	Grade 1	Grade 2	Grade 3	Grade 4	Grade 5	Total
ILD	5 (2.7)	15 (8.2)	1 (0.5)	0	4 (2.2)	25 (13.6)

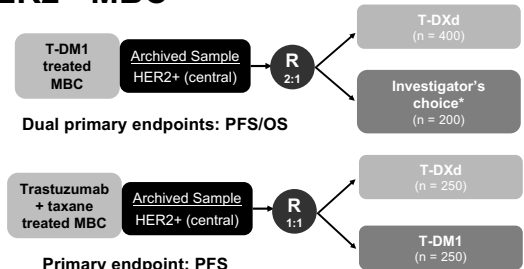
Median to onset of ILD was 27.6 weeks (range: 6-76 weeks)

ILD requires awareness via monitoring, dose interruptions and modification, and adherence to management guidelines

Modi S, et al. *N Engl J Med*. 2020;382(7):610-621.

CME  
Outlines

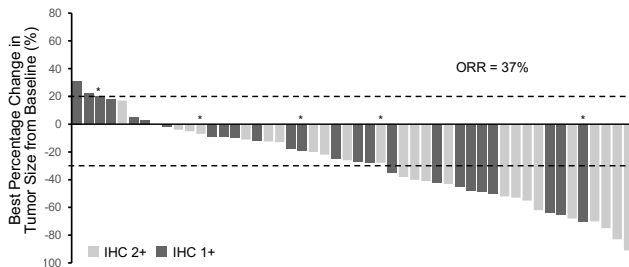
## DESTINY-Breast02 and Breast03 for HER2+ MBC



\*Trastuzumab + capecitabine OR lapatinib + capecitabine  
ClinicalTrials.gov Identifier: NCT03529110 and NCT03523585.

CME  
Outlines

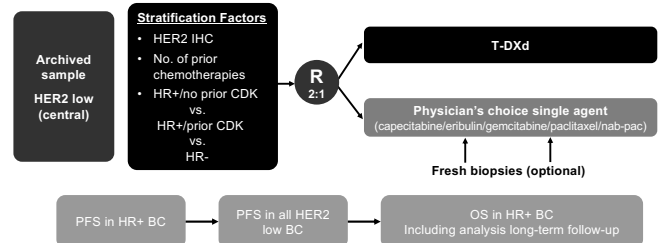
## Phase I Trial of Trastuzumab Deruxtecan: Tumor Regression in HER2 Low BC (N = 54)



\*HR negative  
Modi S, et al. *J Clin Oncol*. 2020;38(17):1887-1896.



## DESTINY-Breast04: Study Design for HER2 Low MBC

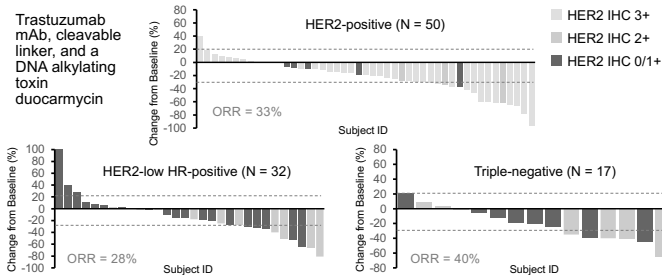


CDK = cyclin-dependent kinase; HR = hormone receptor  
Modi S, et al. SABCS 2019; Abstract OT1-07-02. ClinicalTrials.gov Identifier: NCT03734029.



## Trastuzumab Duocarmazine (SYD985): HER2 ADC

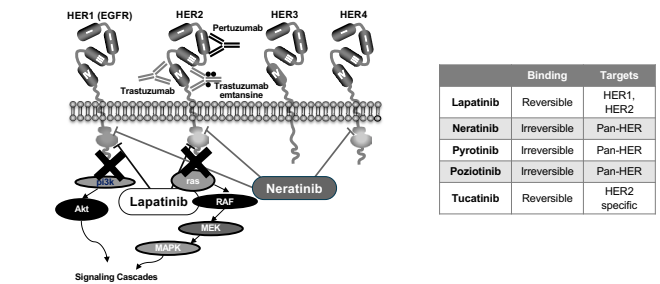
### Best Percentage Change from Baseline in Target Lesions



Banerji U, et al. *Lancet Oncol*. 2019;20(8):1124-1135. Saura C, et al. ASCO. 2018.



## Tyrosine Kinase Inhibitors for HER2+ BC

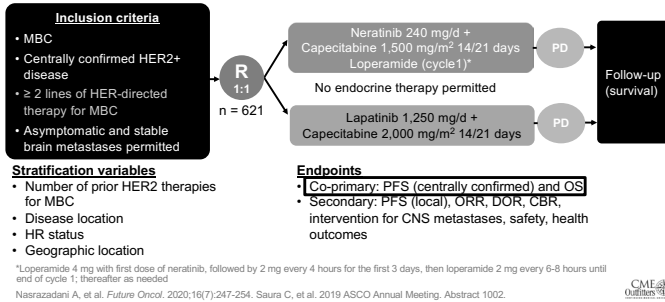


Baselga J, et al. *Crit Rev Oncol Hematol*. 2017;119:113. Kim JY, et al. *Int J Cancer*. 2019;145(6):1669-1678. Kunita S, et al. *Cancer*. 2020;10:1002/cnrc.33102. doi:10.1002/cnrc.33102. Xu Hong JC, et al. *Am J Cancer Res*. 2019;9(10):2103-2119.

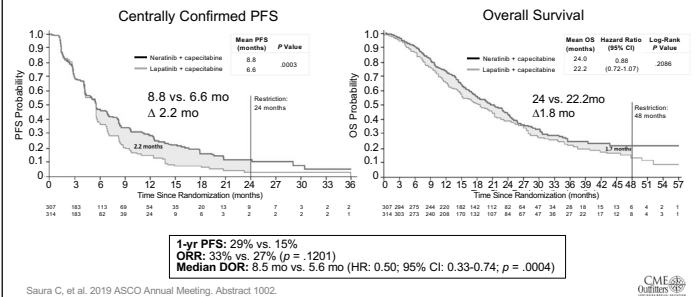




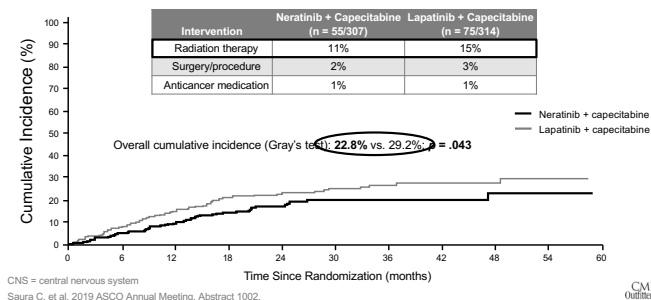
## NALA: Phase III Trial of Neratinib for HER2+ MBC



## NALA: Co-Primary Endpoints of PFS and OS



## Time to Intervention for CNS Metastases



## NALA: Most Frequent Treatment-Emergent AEs (TEAEs)

Safety Outcome	Neratinib + Capecitabine (n = 303)		Lapatinib + Capecitabine (n = 311)	
	All Grades, %	Grade 3/4, %	All Grades, %	Grade 3/4, %
<b>Any TEAE</b>	100	61	99	60
Diarrhea	83	24	66	13
Hand-foot syndrome	46	10	56	11
Hypokalemia	12	5	14	6
Nausea	53	4	42	3
Vomiting	46	4	31	2
Fatigue	34	3	31	3
Neutropenia	7	3	5	2
Asthenia	12	3	12	2
Decreased appetite	35	3	22	2
Dehydration	6	2	6	2

**Treatment discontinuations due to TEAE: N+C = 10.9% vs. L+C = 14.5%**

Saura C, et al. 2019 ASCO Annual Meeting. Abstract 1002.

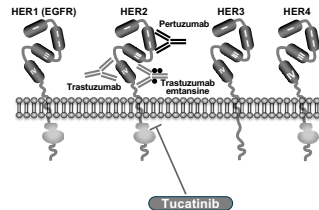
## CONTROL Study: Grade 3 Diarrhea Rates

Neratinib Dose Escalation* n = 60 % (no.)	Loperamide n = 137 % (no.)	Budesonide + Loperamide n = 64 % (no.)	Colestipol + Loperamide n = 136 % (no.)
15 (9)	31 (42)	28 (18)	21 (28)

\*Median duration per Grade 3 episode was 1-2 days; no Grade 4 diarrhea has been reported in the dose-escalation cohort in CONTROL.  
Barcenas CH, et al. *Ann Oncol*. 2020;31(9):1223-1230.



## Tucatinib: A HER2 Selective TKI



Phase Ib  
tucatinib/capecitabine/trastuzumab  
n = 60

Prior treatment:

100% trastuzumab  
65% pertuzumab  
97% T-DM1  
55% lapatinib  
56% with CNS metastasis

ORR: 61% (n = 14/23)

42% (5/12) in CNS metastasis

PFS: 7.8 months

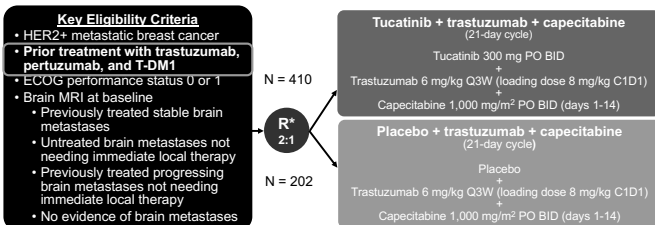
6.7 months in CNS metastasis

Diarrhea: 33% Grade 1-2  
0% Grade 3-4

Murthy R, et al. *Lancet Oncol*. 2018;19(7):880-888.



## HER2CLIMB Trial Design: Randomized Phase II Trial



Primary endpoint: PFS

\*Stratification factors: presence of brain metastases (yes/no), Eastern Cooperative Oncology Group (ECOG) status (0 or 1), and region (United States or Canada or rest of world)  
MRI = magnetic resonance imaging; Q3W = every 3 weeks  
Murthy R, et al. *N Engl J Med*. 2020;382(7):597-609.



## HER2CLIMB: Balanced Baseline Demographics

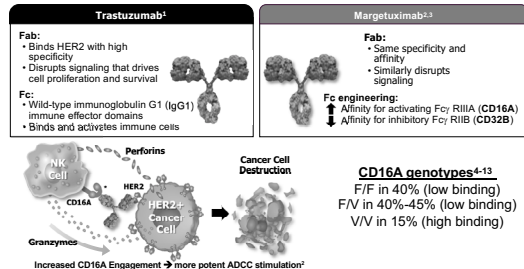
Characteristic, n (%)	Total Population, N = 612	
	TUC + Tras + Cape n = 410	Pbo + Tras + Cape n = 202
Female	407 (99)	200 (99)
Age (years), median (range)	55 (22, 80)	54 (25, 82)
ECOG performance status		
0	204 (50)	94 (47)
1	206 (50)	108 (54)
Stage IV at initial diagnosis	143 (35)	77 (39)
Hormone receptor status		
ER- and/or PR-positive	243 (60)	127 (63)
ER- and PR-negative	161 (40)	75 (37)
Prior lines of therapy, median (range)		
Overall	4 (2, 14)	4 (2, 17)
Metastatic setting	3 (1, 14)	3 (1, 13)
Presence/history of brain metastases	198 (48)	93 (46)
Treated, stable	118 (59.6)	55 (59.1)
Untreated	44 (22.2)	22 (23.7)
Treated progressing	36 (18.2)	16 (17.2)

Cape = capecitabine; Pbo = placebo; Tras = trastuzumab; TUC = tucatinib  
Murthy R, et al. *N Engl J Med*. 2020;382(7):597-609.





### Margetuximab: A Novel HER2 mAb with a Modified Fc Domain

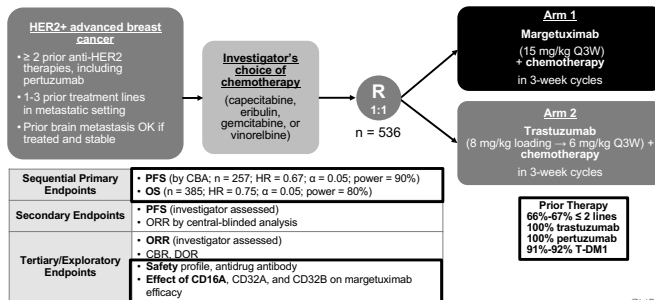


ADCC = antibody-dependent cell-mediated cytotoxicity; Fc $\gamma$  phenylalanine, Fab = fragment, antigen binding; Fc = fragment, crystallizable; V = valine

1. Pohlmann PR et al. *Clin Cancer Res*. 2005;15(24):7475-7491. 2. Nordstrom JL et al. *Breast Cancer Res*. 2011;13(6):R123. 3. Stavenhagen JS et al. *Cancer Res*. 2005;65(18):8804-8810. 4. Loeberich T et al. *Humoral Cell Transl Oncol*. 2005;2(4):169-176. 5. Stavenhagen JS et al. *Cancer Res*. 2007;67(26):8880-8890. 7. Koene H et al. *Cancer*. 1997;90(11):1105-1114. 8. Musolino A et al. *J Clin Oncol*. 2008;26:1789-1799. 9. Nordstrom JL et al. *Breast Cancer Res*. 2011;13(12):130. 10. Shields JM et al. *J Biol Chem*. 2002;277(9790-9799). 11. Varchetta S et al. *Cancer Res*. 2007;67(11991-11999). 12. Gavin PG et al. *JAMA Oncol*. 2017;3(35):341. 13. Musolino A et al. *Pharmacogenomics J*. 2016;16:472-477.



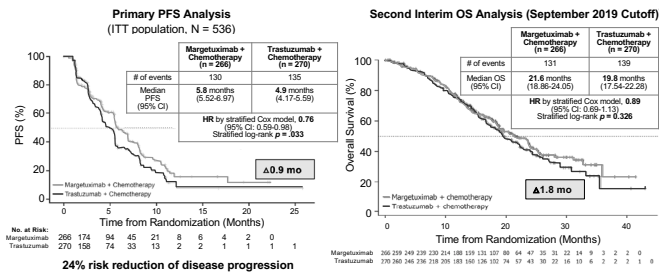
## SOPHIA Study: Phase III Design



Rugo HS, et al. *SABCS 2019*. Abstract GS1-02



## SOPHIA: Primary PFS and Interim OS Analyses

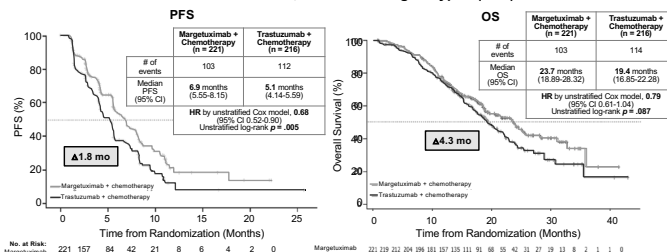


\*OS analysis performed, as of September 10, 2019 data cutoff, after 270 (70%) of 385 events needed for final OS analysis had occurred  
Rugo, et al. ASCO 2019. Rugo HS, et al. SABCS 2019. Abstract GS1-02.



## SOPHIA: Exploratory PFS and OS in CD16A-185 F Carriers

CD16A FF or FV, n = 437 of 506 genotyped (86%)



Rugo, et al. ASCO 2019. Rugo, et al. SABC 2019



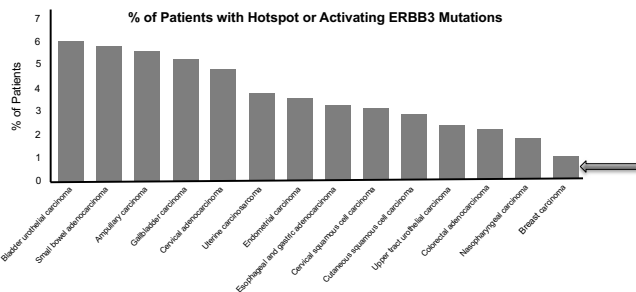
## HER3 in Breast Cancer



## HER3-Initiated Signaling An Animated Tour



## Prevalence of *ERBB3* Somatic Mutations



Klavue N, et al. *Oncogene*. 2020;39(3):487-502.



## HER3 in HER2-Amplified BC

- Development of tumors in transgenic mice due to overexpression of a HER2 transgene in mammary tissue is significantly averted by Cre-mediated deletion of the endogenous *ERBB3* gene in mammary tissue
- In HER2-amplified breast cancer cell lines, the experimental transcriptional repression of HER3 expression stops tumor cell growth and proliferation
- The in vivo tumorigenic growth of HER2-amplified breast cancers can be stopped using shRNA knockdown of HER3 in the tumors or Crispr-mediated knockout of HER3 in the tumors
- EGFR or HER4 do not seem to supplant the requirement for HER3 as the requisite partner for HER2 in these tumors

Kumar R, et al. *Adv Cancer Res*. 2020;147:109-160.



## HER3: Resistance to Hormonal and Targeted Therapy

- Hormonal therapy
  - Co-expression of *HER2* and *HER3* linked to tamoxifen resistance
  - ER+ BC cells when treated with fulvestrant induce protein expression and activity of *HER3*
- Targeted therapy
  - Increased *HER3* expression linked to trastuzumab resistance
  - *HER3* upregulation linked to lapatinib resistance

Mishra R, et al. *Oncol Rev*. 2018;12(1):355.



## New and Emerging Treatments



## Select HER3-Targeting Agents in Clinical Development

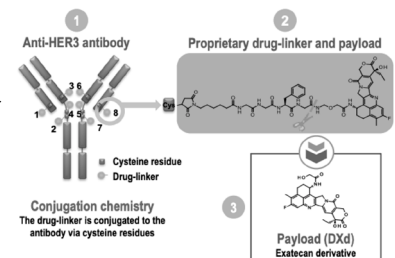
Drug	MOA	Phase (NCT)	Result
U3-1402	ADC – anti-HER3 antibody conjugated to topoisomerase 1 inhibitor	I/II (NCT02980341)	Ongoing
MCLA-128	Bispecific antibody targeting HER2/HER3	II (NCT03321981)	Ongoing
CDX-3379	Anti-HER3 antibody	II (NCT03254927)*	Ongoing
ISU 104	Anti-HER3 antibody	I (NCT03552406)	Ongoing
LJM716	Anti-HER3 antibody	I (NCT02167854)	Ongoing

\*In head and neck squamous cell carcinoma  
MOA = mechanism of action



## U3-1402: HER3-Targeting ADC

- High-potency payload with a different MOA and short half-life
- By-stander effect
- Stable linker-payload
- Tumor-selective cleavable linker
- High drug-to-antibody ratio



Hashimoto Y, et al. *Clin Cancer Res*. 2019;25(23):7151-7161. Masuda N, et al. San Antonio Breast Cancer Symposium (SABCS); 2018. Abstract P01-03. Yonemori K, et al. *Ann Oncol*. [2019;30(suppl 3):iii47-iii54.



## U3-1402 in HER3-Overexpressing MBC: Phase I/II

- U3-1402 showed promising antitumor activity in heavily-pretreated patients with HER3-overexpressing MBC
  - Confirmed ORR: 42.9%
  - Median DOR
  - Median PFS: 8 months
- Efficacy seen regardless of MBC subtype
  - 50% HR+ HER2-
  - 24% triple-negative BC
  - 17% HER2+

Masuda N, et al. SABCS; 2018. Abstract PD1-03. Yonemori K, et al. Ann Oncol. 2019;30 suppl 3):iii47-iii64.



## COVID-19 and Breast Cancer



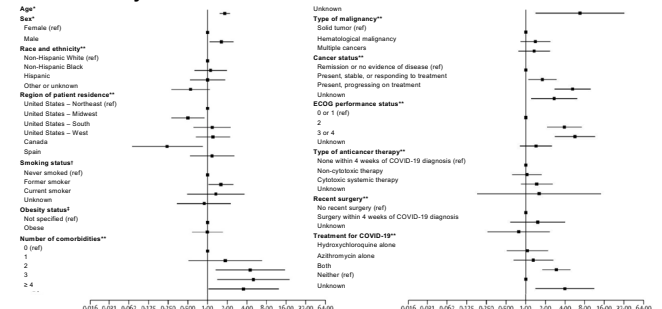
## Outpatient Visit Priorities for BC: ESMO Guidance

High Priority	Medium Priority	Low Priority
Postoperative unstable clinical scenario	New diagnosis of non-invasive cancer: <i>convert as many visits as possible to telemedicine</i>	Established patients with no new issues: <i>refer to telemedicine</i>
New diagnosis of invasive BC	Postoperative visits in patients with no complications	Survivorship follow-up: <i>refer to telemedicine</i>
BC diagnosis during pregnancy		Follow-up for patients at high risk of BC
On-treatment patients with new symptoms or side effects: <i>convert as many visits as possible to telemedicine</i>		Psychological support visits: <i>convert to telemedicine</i>

ESMO = European Society for Medical Oncology  
de Azambuja E, et al. ESMO Open. 2020;5(Suppl 3):e000793.



## 30-Day All-Cause Mortality in Patients with COVID-19 and Cancer: A Cohort Study



\*Adjusted for sex, smoking status, and obesity. \*\*Adjusted for age, sex, smoking status, and obesity. †Adjusted for age, sex, and obesity. ‡Adjusted for age, sex, and smoking status.  
Kudrinski M, et al. Lancet. 2020;395(10241):1907-1918.



## Considerations for Patients Age $\geq 70$ with BC During COVID-19

Disease Setting	Treatment Considerations*
HER2+ disease	<ul style="list-style-type: none"> <li>Limit use of neo/adjuvant chemotherapy in small tumors</li> <li>Use hormonal therapy when also HR+</li> <li>Select and modify neo/adjuvant chemotherapy regimens and supportive medications to minimize immunosuppression†</li> <li>Consider T-DM1, T-DM1 plus pertuzumab, or weekly paclitaxel-trastuzumab (+/-) pertuzumab if neo/adjuvant treatment required</li> <li>Consider cessation of trastuzumab before 1 year when appropriate or use of subcutaneous administration to limit infusion time</li> </ul>
Metastatic disease	<ul style="list-style-type: none"> <li>Discuss goals of care</li> <li>Consider postponement of or dose-reduced CDK 4/6 inhibition until COVID-19 exposure risks decline</li> <li>Consider oral therapy when appropriate</li> <li>Select and modify any neo/adjuvant chemotherapy regimens and supportive medications to minimize immunosuppression†</li> </ul>

\*Consider patient priorities, preferences, concerns, competing comorbidity, life expectancy, frailty, and functional status in decision-making; discuss anticipated benefits and harms of treatments  
†Limit steroid use, use growth factor, avoid antineoplastic, modify sequence of therapy  
Freedman RA, et al. J Natl Cancer Inst. 2020:djae079.



## SMART Goals

Specific, Measurable, Attainable, Relevant, Timely

- Optimize HER2 testing to guide treatment decisions
- Identify HER2 low and HER3+ patients who may benefit from new and emerging therapies
- Sequence new  $\geq$  third-line agents for advanced HER2+ breast cancer
- Tailor breast cancer treatment during the COVID-19 pandemic according to stage, tumor biology, comorbidities, age, patient preferences, and available hospital resources



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**CME Outfitters will send you confirmation of your participation to submit to CMS attesting to your completion of a CME for MIPS Improvement Activity**



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# Attendance Form for Groups

Please complete and FAX to **614.929.3600**

Activity Title and Faculty:

## Targeted Therapy for HER2 and HER3-Positive Breast Cancer: Navigating the Evolving Treatment Landscape

with Sara A. Hurvitz, MD (Moderator); Shanu Modi, MD; Michael F. Press, MD, PhD

Site/Institution Name: \_\_\_\_\_

☐ Office-Based    ☐ Hospital    ☐ Clinic    ☐ Managed Care    ☐ Small Group Practice (less than 5)

Practice Setting: ☐ Large Group Practice (more than 5)    ☐ Other: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Site Coordinator: \_\_\_\_\_ Phone: \_\_\_\_\_

Fax: \_\_\_\_\_ Email: \_\_\_\_\_

Completion Date: \_\_\_\_\_ We participated in: \_\_\_\_\_

### Attendee Name (please print)

### Please Circle Discipline

_____	MD	DO	PA	NP	RN	Pharm	Other: _____
_____	MD	DO	PA	NP	RN	Pharm	Other: _____
_____	MD	DO	PA	NP	RN	Pharm	Other: _____
_____	MD	DO	PA	NP	RN	Pharm	Other: _____
_____	MD	DO	PA	NP	RN	Pharm	Other: _____
_____	MD	DO	PA	NP	RN	Pharm	Other: _____
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_____	MD	DO	PA	NP	RN	Pharm	Other: _____
_____	MD	DO	PA	NP	RN	Pharm	Other: _____
_____	MD	DO	PA	NP	RN	Pharm	Other: _____

Please FAX completed form to 614.929.3600 and use additional sheets as necessary.  
Questions? Call 877.CME.PROS. Thank you for participating in this continuing education activity!